

# Lecture : Mathematical detour: Polynomials over fields and Constructions of finite fields

Recall from lectures 3 and 4 the basics of groups, fields, and vector spaces. In this lecture, we shall focus on a specific vector space - the space of polynomials over a field of fixed degree - and use polynomials from such a space in order to construct fields of order that is a prime power.

Let  $\mathbb{F}^{\leq d}[\alpha] \triangleq \left\{ f(\alpha) = \sum_{i=0}^d a_i \alpha^i : a_i \in \mathbb{F} \right\}$ . Verify that  $\mathbb{F}^{\leq d}[\alpha]$  is a vector space over  $\mathbb{F}$ .

↓  
"indeterminate"

Further, let  $\mathbb{F}^d[\alpha]$  denote the collection of polynomials of degree exactly  $d$ . Multiplication of two polynomials  $f_1(\alpha), f_2(\alpha) \in \mathbb{F}^d[\alpha]$  is quite similar to that of polynomials over  $\mathbb{R}$ , only that now, all additions of coefficients are over  $\mathbb{F}$ .

Example: Let  $f_1(\alpha) = \alpha^2 + 4\alpha + 3$  and  $f_2(\alpha) = \alpha + 2$ , defined over  $\mathbb{F}_5$ . Then,

$$\begin{aligned} f_1(\alpha) \cdot f_2(\alpha) &= (\alpha^2 + 4\alpha + 3)(\alpha + 2) \\ &= \alpha^3 + ((4+2) \bmod 5)\alpha^2 + ((8+3) \bmod 5)\alpha + (6 \bmod 5) \\ &= \alpha^3 + \alpha^2 + \alpha + 1. \end{aligned}$$

Let  $\mathbb{F}[\alpha] = \bigcup_{d \geq 1} \mathbb{F}^d[\alpha]$ .

FACT: Similar to the setting of the fields  $\mathbb{F}_p$ ,  $p$  prime, we have a Euclidean Division Algorithm for polynomials over  $\mathbb{F}[x]$ .  
(EDA)

Let  $f(x), g(x) \in \mathbb{F}[x]$ . Then, poly. division allows us to find polynomials  $q(x), r(x) \in \mathbb{F}[x]$ , with  $\deg(r(x)) < \deg(g(x))$  such that

$$f(x) = q(x)g(x) + r(x). \quad \left[ \text{Recall the } a = qb + r \text{ form for } a, q, b, r \in \mathbb{F} \right]$$

Example. Let  $f(x) = x^4 + 3x^3 + 2x + 1$  and  $g(x) = x^2 + 1$ , over  $\mathbb{F}_5$ .  
Then,

$$\begin{array}{r}
 x^2+1 \overline{) x^4 + 3x^3 + 2x + 1} \quad \left[ x^2 + 3x + 4 \right. \\
 \underline{x^4 + \phantom{3x^3} + x^2} \phantom{+ 2x + 1} \\
 3x^3 + 4x^2 + 2x + 1 \\
 \underline{3x^3 + \phantom{4x^2} + 3x} \\
 4x^2 + 4x + 1 \\
 \underline{4x^2 + \phantom{4x} + 4} \\
 \underline{4x + 2} \quad \leftarrow \text{remainder } r(x)
 \end{array}$$

↑ quotient  $q(x)$

The EDA for polynomials proceeds the same way as for finite fields  $\mathbb{F}_p$ , and helps compute the "greatest common divisor" (gcd) of polynomials  $f(x), g(x) \in \mathbb{F}[x]$ .

Def 1: The gcd of  $f(x), g(x) \in \mathbb{F}[x]$  is any **monic** polynomial  $h(x)$  such that

$$h(x) \mid f(x) \text{ and } h(x) \mid g(x) \quad \text{--- (1)}$$

and for any other polynomial  $p(x)$  that obeys (1) (in place of  $h(x)$ ), we have that  $h(x) \mid p(x)$ .

We now illustrate the EDA for polynomials via an example.

Example: Say we wish to find the gcd of  $f(x) = x^5 + x^2 + x + 1$  and  $g(x) = x^6 + x^4 + x^2 + 1$ .

$$\begin{array}{r}
 x^5 + x^2 + x + 1 \quad \left[ \begin{array}{l} x^6 + x^4 + x^2 + 1 \\ x^6 + \quad + x^2 + x^3 + x \end{array} \right] x \\
 \hline
 x^4 + x^3 + x + 1 \quad \left. \begin{array}{l} x^5 + x^2 + x + 1 \\ x^5 + x^3 + x + 1 \end{array} \right) (x+1) \\
 \hline
 x^4 + 1 \\
 x^4 + 1 + x^3 + x \\
 \hline
 x^3 + x \\
 x^4 + x^3 + x + 1 \quad \left( x+1 \right) \\
 \hline
 x^4 + \quad + x^2 \\
 x^3 + x^2 + x + 1 \\
 x^3 + x \\
 \hline
 x^2 + 1 \\
 x^3 + x \quad \left( x \right) \\
 \hline
 x^3 + x \\
 \hline
 0
 \end{array}$$

Penultimate remainder is the gcd. =  $x^2 + 1$ .

(via the "extended EDA")  
Similar to the case with  $\mathbb{F}_p$ , we can use the EDA to find polynomials  $s(x), t(x) \in \mathbb{F}[x]$  such that given  $f(x), g(x) \in \mathbb{F}[x]$ , we have

$$\gcd(f(x), g(x)) = s(x)f(x) + t(x)g(x). \quad (2)$$

HW: For the example above, compute the polynomials  $s(x), t(x)$ .

Recall from lecture 4 that we made use of an expansion similar to (2) above, in order to prove that  $(\mathbb{Z}_p, +, \cdot)$  is a field, for  $p$  prime.

We next construct fields via polynomials, by first defining an analogue of "prime numbers" in the setting of polynomials.

Def 2: A polynomial  $f(x) \in \mathbb{F}[x]$  is **irreducible** if it cannot be factored as the product of two non-constant polynomials, i.e., we cannot have  $f(x) = g(x)h(x)$  with  $\deg(g(x)) < \deg(f(x))$  and  $\deg(h(x)) < \deg(f(x))$ .

Example: Over  $\mathbb{F} = \mathbb{F}_2$ , the polynomials  $f_1(x) = x^2 + x + 1$ ,  $f_2(x) = x^3 + x^2 + 1$ , and  $f_3(x) = x^4 + x + 1$  are irreducible.

HW: Verify that  $f_1(x)$  and  $f_2(x)$  are indeed irreducible over  $\mathbb{F}_2$ .

FACT: For any  $\mathbb{F} = \mathbb{F}_p$ ,  $p$  prime, and for any  $n \geq 1$ , there exists an irreducible polynomial of degree  $n$  in  $\mathbb{F}[x]$ .

FACT: Any non-zero polynomial in  $\mathbb{F}[x]$  can be expressed uniquely as a product of irreducible poly. over  $\mathbb{F}$ .

We shall now use irreducible polynomials to construct fields.

Given a polynomial  $f(x) \in \mathbb{F}[x]$ , let  $\mathbb{F}[x]/f(x)$  denote the collection of polynomials modulo  $f(x)$ .

Verify that we must have  $\mathbb{F}[x]/f(x) = \mathbb{F}^{\leq (d-1)}[x]$ .

We now endow the space  $\mathbb{F}[x]/f(x)$  with addition and multiplication operations modulo  $f(x)$ .

Theorem 1:  $(\mathbb{F}[x]/f(x), +, \cdot, \mathbb{F}, \cdot)$  is a field, when  $f(x)$  is irreducible over  $\mathbb{F}$ .

Proof: As in the case with showing that  $\mathbb{F}_p$  (with suitable operations) is a field, the only non-trivial task is to show that for any  $g(x) \in \mathbb{F}[x]/f(x)$ , there exists a multiplicative inverse  $g^{-1}(x)$ . Indeed, note that via the extended EDA, since  $f(x)$  is irreducible, we have that

$$1 = \gcd(f(x), g(x)) = s(x)g(x) + t(x) \cdot f(x),$$

for some  $s(x), t(x) \in \mathbb{F}[x]$ .

Reducing modulo  $f(x)$ , we obtain that

$$1 = (s(x) \bmod f(x)) \cdot g(x),$$

yielding that  $g^{-1}(x) = (s(x) \bmod f(x))$ .  $\square$

Example: let  $F = \mathbb{F}_2$  and consider the field  $(\mathbb{F}_2[x]/(x^3+x^2+1), +, \mathbb{F}_2, \cdot)$ .

Note that this space consists of the polynomials

$$1, x, x+1, x^2, x^2+1, x^2+x+1.$$

Now, let us attempt to find the inverse of  $x^2$  in this field. First, we shall carry out the extended EDA.

EDA:

$$\begin{array}{r} x^2 \overline{) x^3 + x^2 + 1} \quad (x+1 \\ \underline{x^3} \phantom{+ 1} \\ x^2 + 1 \\ \underline{x^2} \\ \boxed{1} \end{array}$$

of course,  
 $\gcd(x^2, x^3+x^2+1) = 1$

Extended-EDA:

$$x^3 + x^2 + 1 = (x+1)x^2 + 1$$

$$\Rightarrow 1 = (x+1)x^2 + (x^3+x^2+1) \cdot 1$$

$$\begin{array}{l} \downarrow \text{Reduce modulo} \\ \phantom{\downarrow} x^3+x^2+1 \\ (x^2)^{-1} = x+1. \end{array}$$